



# Chapter 30: XML



# Outline

- Structure of XML Data
- XML Document Schema
- Querying and Transformation
- Application Program Interfaces to XML
- Storage of XML Data
- XML Applications



# Introduction

- XML: Extensible Markup Language
- Defined by the WWW Consortium (W3C)
- Derived from SGML (Standard Generalized Markup Language), but simpler to use than SGML
- Documents have tags giving extra information about sections of the document
  - E.g. `<title> XML </title>` `<slide> Introduction ...</slide>`
- **Extensible**, unlike HTML
  - Users can add new tags, and *separately* specify how the tag should be handled for display



# XML Introduction (Cont.)

- The ability to specify new tags, and to create nested tag structures make XML a great way to exchange **data**, not just documents.
  - Much of the use of XML has been in data exchange applications, not as a replacement for HTML
- Tags make data (relatively) self-documenting
  - E.g.

```
<university>
  <department>
    <dept_name> Comp. Sci. </dept_name>
    <building> Taylor </building>
    <budget> 100000 </budget>
  </department>
  <course>
    <course_id> CS-101 </course_id>
    <title> Intro. to Computer Science </title>
    <dept_name> Comp. Sci </dept_name>
    <credits> 4 </credits>
  </course>
</university>
```



# XML: Motivation

- Data interchange is critical in today's networked world
  - Examples:
    - Banking: funds transfer
    - Order processing (especially inter-company orders)
    - Scientific data
      - Chemistry: ChemML, ...
      - Genetics: BSML (Bio-Sequence Markup Language), ...
    - Paper flow of information between organizations is being replaced by electronic flow of information
- Each application area has its own set of standards for representing information
- XML has become the basis for all new generation data interchange formats



# XML Motivation (Cont.)

- Earlier generation formats were based on plain text with line headers indicating the meaning of fields
  - Similar in concept to email headers
  - Does not allow for nested structures, no standard “type” language
  - Tied too closely to low level document structure (lines, spaces, etc)
- Each XML based standard defines what are valid elements, using
  - XML type specification languages to specify the syntax
    - DTD (Document Type Descriptors)
    - XML Schema
  - Plus textual descriptions of the semantics
- XML allows new tags to be defined as required
  - However, this may be constrained by DTDs
- A wide variety of tools is available for parsing, browsing and querying XML documents/data



# Comparison with Relational Data

- Inefficient: tags, which in effect represent schema information, are repeated
- Better than relational tuples as a data-exchange format
  - Unlike relational tuples, XML data is self-documenting due to presence of tags
  - Non-rigid format: tags can be added
  - Allows nested structures
  - Wide acceptance, not only in database systems, but also in browsers, tools, and applications



# Structure of XML Data

- **Tag:** label for a section of data
- **Element:** section of data beginning with `<tagname>` and ending with matching `</tagname>`
- Elements must be properly **nested**
  - Proper nesting
    - `<course> ... <title> .... </title> </course>`
  - Improper nesting
    - `<course> ... <title> .... </course> </title>`
  - Formally: every start tag must have a unique matching end tag, that is in the context of the same parent element.
- Every document must have a single top-level element





# Example of Nested Elements

```
<purchase_order>
  <identifier> P-101 </identifier>
  <purchaser> .... </purchaser>
  <itemlist>
    <item>
      <identifier> RS1 </identifier>
      <description> Atom powered rocket sled </description>
      <quantity> 2 </quantity>
      <price> 199.95 </price>
    </item>
    <item>
      <identifier> SG2 </identifier>
      <description> Superb glue </description>
      <quantity> 1 </quantity>
      <unit-of-measure> liter </unit-of-measure>
      <price> 29.95 </price>
    </item>
  </itemlist>
</purchase_order>
```



# Motivation for Nesting

- Nesting of data is useful in data transfer
  - Example: elements representing *item* nested within an *itemlist* element
- Nesting is not supported, or discouraged, in relational databases
  - With multiple orders, customer name and address are stored redundantly
  - normalization replaces nested structures in each order by foreign key into table storing customer name and address information
  - Nesting is supported in object-relational databases
- But nesting is appropriate when transferring data
  - External application does not have direct access to data referenced by a foreign key



# Structure of XML Data (Cont.)

- Mixture of text with sub-elements is legal in XML.

- Example:

<course>

This course is being offered for the first time in 2009.

<course id> BIO-399 </course id>

<title> Computational Biology </title>

<dept name> Biology </dept name>

<credits> 3 </credits>

</course>

- Useful for document markup, but discouraged for data representation



# Attributes

- Elements can have **attributes**

```
<course course_id= "CS-101">  
  <title> Intro. to Computer Science</title>  
  <dept name> Comp. Sci. </dept name>  
  <credits> 4 </credits>  
</course>
```

- Attributes are specified by *name=value* pairs inside the starting tag of an element
- An element may have several attributes, but each attribute name can only occur once

```
<course course_id = "CS-101" credits="4">
```



# Attributes vs. Subelements

- Distinction between subelement and attribute
  - In the context of documents, attributes are part of markup, while subelement contents are part of the basic document contents
  - In the context of data representation, the difference is unclear and may be confusing
    - Same information can be represented in two ways
      - `<course course_id= "CS-101"> ... </course>`
      - `<course>`  
    `<course_id>CS-101</course_id> ...`  
    `</course>`
  - Suggestion: use attributes for identifiers of elements, and use subelements for contents



# Namespaces

- XML data has to be exchanged between organizations
- Same tag name may have different meaning in different organizations, causing confusion on exchanged documents
- Specifying a unique string as an element name avoids confusion
- Better solution: use **unique-name:element-name**
- Avoid using long unique names all over document by using XML Namespaces

```
<university xmlns:yale="http://www.yale.edu">
```

```
...
```

```
<yale:course>
```

```
<yale:course_id> CS-101 </yale:course_id>
```

```
<yale:title> Intro. to Computer Science</yale:title>
```

```
<yale:dept_name> Comp. Sci. </yale:dept_name>
```

```
<yale:credits> 4 </yale:credits>
```

```
</yale:course>
```

```
...
```

```
</university>
```



# More on XML Syntax

- Elements without subelements or text content can be abbreviated by ending the start tag with a `/>` and deleting the end tag
  - `<course course_id="CS-101" Title="Intro. To Computer Science" dept_name = "Comp. Sci." credits="4" />`
- To store string data that may contain tags, without the tags being interpreted as subelements, use CDATA as below
  - `<![CDATA[<course> ... </course>]]>`

Here, `<course>` and `</course>` are treated as just strings

CDATA stands for “character data”



# XML Document Schema

- Database schemas constrain what information can be stored, and the data types of stored values
- XML documents are not required to have an associated schema
- However, schemas are very important for XML data exchange
  - Otherwise, a site cannot automatically interpret data received from another site
- Two mechanisms for specifying XML schema
  - **Document Type Definition (DTD)**
    - Widely used
  - **XML Schema**
    - Newer, increasing use





# Document Type Definition (DTD)

- The type of an XML document can be specified using a DTD
- DTD constraints structure of XML data
  - What elements can occur
  - What attributes can/must an element have
  - What subelements can/must occur inside each element, and how many times.
- DTD does not constrain data types
  - All values represented as strings in XML
- DTD syntax
  - `<!ELEMENT element (subelements-specification) >`
  - `<!ATTLIST element (attributes) >`



# Element Specification in DTD

- Subelements can be specified as
  - names of elements, or
  - #PCDATA (parsed character data), i.e., character strings
  - EMPTY (no subelements) or ANY (anything can be a subelement)
- Example
  - <! ELEMENT department (dept\_name building, budget)>
  - <! ELEMENT dept\_name (#PCDATA)>
  - <! ELEMENT budget (#PCDATA)>
- Subelement specification may have regular expressions
  - <!ELEMENT university ( ( department | course | instructor | teaches )+)>
  - Notation:
    - “|” - alternatives
    - “+” - 1 or more occurrences
    - “\*” - 0 or more occurrences



# University DTD

```
<!DOCTYPE university [  
  <!ELEMENT university ( (department|course|instructor|teaches)+)>  
  <!ELEMENT department ( dept name, building, budget)>  
  <!ELEMENT course ( course id, title, dept name, credits)>  
  <!ELEMENT instructor (IID, name, dept name, salary)>  
  <!ELEMENT teaches (IID, course id)>  
  <!ELEMENT dept name( #PCDATA )>  
  <!ELEMENT building( #PCDATA )>  
  <!ELEMENT budget( #PCDATA )>  
  <!ELEMENT course id ( #PCDATA )>  
  <!ELEMENT title ( #PCDATA )>  
  <!ELEMENT credits( #PCDATA )>  
  <!ELEMENT IID( #PCDATA )>  
  <!ELEMENT name( #PCDATA )>  
  <!ELEMENT salary( #PCDATA )>  
>
```



# Attribute Specification in DTD

- Attribute specification : for each attribute
  - Name
  - Type of attribute
    - ▶ CDATA
    - ▶ ID (identifier) or IDREF (ID reference) or IDREFS (multiple IDREFs)
      - more on this later
  - Whether
    - ▶ mandatory (#REQUIRED)
    - ▶ has a default value (value),
    - ▶ or neither (#IMPLIED)
- Examples
  - `<!ATTLIST course course_id CDATA #REQUIRED>`, or
  - `<!ATTLIST course`

<code>course_id</code>	<code>ID</code>	<code>#REQUIRED</code>
<code>dept_name</code>	<code>IDREF</code>	<code>#REQUIRED</code>
<code>instructors</code>	<code>IDREFS</code>	<code>#IMPLIED</code>

`>`



# IDs and IDREFs

- An element can have at most one attribute of type ID
- The ID attribute value of each element in an XML document must be distinct
  - Thus the ID attribute value is an object identifier
- An attribute of type IDREF must contain the ID value of an element in the same document
- An attribute of type IDREFS contains a set of (0 or more) ID values. Each ID value must contain the ID value of an element in the same document



# University DTD with Attributes

- University DTD with ID and IDREF attribute types.  
<!DOCTYPE university-3 [  
    <!ELEMENT university ( (department|course|instructor)+)>  
    <!ELEMENT department ( building, budget )>  
    <!ATTLIST department  
        dept\_name ID #REQUIRED >  
    <!ELEMENT course (title, credits )>  
    <!ATTLIST course  
        course\_id ID #REQUIRED  
        dept\_name IDREF #REQUIRED  
        instructors IDREFS #IMPLIED >  
    <!ELEMENT instructor ( name, salary )>  
    <!ATTLIST instructor  
        IID ID #REQUIRED  
        dept\_name IDREF #REQUIRED >  
    . . . declarations for title, credits, building,  
        budget, name and salary . . .  
]>



# XML data with ID and IDREF attributes

<university-3>

<department dept name="Comp. Sci.">

<building> Taylor </building>

<budget> 100000 </budget>

</department>

<department dept name="Biology">

<building> Watson </building>

<budget> 90000 </budget>

</department>

<course course id="CS-101" dept name="Comp. Sci"

instructors="10101 83821">

<title> Intro. to Computer Science </title>

<credits> 4 </credits>

</course>

....

<instructor IID="10101" dept name="Comp. Sci.">

<name> Srinivasan </name>

<salary> 65000 </salary>

</instructor>

....

</university-3>



# Limitations of DTDs

- No typing of text elements and attributes
  - All values are strings, no integers, reals, etc.
- Difficult to specify unordered sets of subelements
  - Order is usually irrelevant in databases (unlike in the document-layout environment from which XML evolved)
  - $(A \mid B)^*$  allows specification of an unordered set, but
    - Cannot ensure that each of A and B occurs only once
- IDs and IDREFs are untyped
  - The *instructors* attribute of an course may contain a reference to another course, which is meaningless
    - *instructors* attribute should ideally be constrained to refer to instructor elements





# XML Schema

- XML Schema is a more sophisticated schema language which addresses the drawbacks of DTDs. Supports
  - Typing of values
    - E.g. integer, string, etc
    - Also, constraints on min/max values
  - User-defined, complex types
  - Many more features, including
    - uniqueness and foreign key constraints, inheritance
- XML Schema is itself specified in XML syntax, unlike DTDs
  - More-standard representation, but verbose
- XML Scheme is integrated with namespaces
- BUT: XML Schema is significantly more complicated than DTDs.



# XML Schema Version of Univ. DTD

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">
<xs:element name="university" type="universityType" />
<xs:element name="department">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="dept name" type="xs:string"/>
      <xs:element name="building" type="xs:string"/>
      <xs:element name="budget" type="xs:decimal"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>
....
<xs:element name="instructor">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="IID" type="xs:string"/>
      <xs:element name="name" type="xs:string"/>
      <xs:element name="dept name" type="xs:string"/>
      <xs:element name="salary" type="xs:decimal"/>
    </xs:sequence>
  </xs:complexType>
</xs:element>
... Contd.
```



# XML Schema Version of Univ. DTD (Cont.)

....

```
<xs:complexType name="UniversityType">
  <xs:sequence>
    <xs:element ref="department" minOccurs="0" maxOccurs="unbounded"/>
    <xs:element ref="course" minOccurs="0" maxOccurs="unbounded"/>
    <xs:element ref="instructor" minOccurs="0" maxOccurs="unbounded"/>
    <xs:element ref="teaches" minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
</xs:schema>
```

- Choice of “xs:” was ours -- any other namespace prefix could be chosen
- Element “university” has type “universityType”, which is defined separately
  - xs:complexType is used later to create the named complex type “UniversityType”



# More features of XML Schema

- Attributes specified by xs:attribute tag:
  - `<xs:attribute name = "dept_name"/>`
  - adding the attribute `use = "required"` means value must be specified
- Key constraint: "department names form a key for department elements under the root university element:  
`<xs:key name = "deptKey">`  
    `<xs:selector xpath = "/university/department"/>`  
    `<xs:field xpath = "dept_name"/>`  
`</xs:key>`
- Foreign key constraint from course to department:  
    `<xs:keyref name = "courseDeptFKKey" refer="deptKey">`  
        `<xs:selector xpath = "/university/course"/>`  
        `<xs:field xpath = "dept_name"/>`  
    `</xs:keyref>`



# Querying and Transforming XML Data

- Translation of information from one XML schema to another
- Querying on XML data
- Above two are closely related, and handled by the same tools
- Standard XML querying/translation languages
  - XPath
    - Simple language consisting of path expressions
  - XSLT
    - Simple language designed for translation from XML to XML and XML to HTML
  - XQuery
    - An XML query language with a rich set of features



# Tree Model of XML Data

- Query and transformation languages are based on a **tree model** of XML data
- An XML document is modeled as a tree, with **nodes** corresponding to elements and attributes
  - Element nodes have child nodes, which can be attributes or subelements
  - Text in an element is modeled as a text node child of the element
  - Children of a node are ordered according to their order in the XML document
  - Element and attribute nodes (except for the root node) have a single parent, which is an element node
  - The root node has a single child, which is the root element of the document



# XPath

- XPath is used to address (select) parts of documents using **path expressions**
- A path expression is a sequence of steps separated by “/”
  - Think of file names in a directory hierarchy
- Result of path expression: set of values that along with their containing elements/attributes match the specified path
- E.g. **/university-3/instructor/name** evaluated on the university-3 data we saw earlier returns
  - `<name>Srinivasan</name>`
  - `<name>Brandt</name>`
- E.g. **/university-3/instructor/name/text( )** returns the same names, but without the enclosing tags



# XPath (Cont.)

- The initial “/” denotes root of the document (above the top-level tag)
- Path expressions are evaluated left to right
  - Each step operates on the set of instances produced by the previous step
- Selection predicates may follow any step in a path, in [ ]
  - E.g. `/university-3/course[credits >= 4]`
    - ▶ returns account elements with a balance value greater than 400
    - ▶ `/university-3/course[credits]` returns account elements containing a credits subelement
- Attributes are accessed using “@”
  - E.g. `/university-3/course[credits >= 4]/@course_id`
    - ▶ returns the course identifiers of courses with credits >= 4
  - IDREF attributes are not dereferenced automatically (more on this later)





# Functions in XPath

- XPath provides several functions
  - The function `count()` at the end of a path counts the number of elements in the set generated by the path
    - E.g. `/university-2/instructor[count(./teaches/course)> 2]`
      - Returns instructors teaching more than 2 courses (on university-2 schema)
  - Also function for testing position (1, 2, ..) of node w.r.t. siblings
- Boolean connectives `and` and `or` and function `not()` can be used in predicates
- IDREFs can be referenced using function `id()`
  - `id()` can also be applied to sets of references such as IDREFS and even to strings containing multiple references separated by blanks
  - E.g. `/university-3/course/id(@dept_name)`
    - returns all department elements referred to from the dept\_name attribute of course elements.



# More XPath Features

- Operator “|” used to implement union
  - E.g. `/university-3/course[@dept name=“Comp. Sci”] | /university-3/course[@dept name=“Biology”]`
    - ▶ Gives union of Comp. Sci. and Biology courses
    - ▶ However, “|” cannot be nested inside other operators.
- “//” can be used to skip multiple levels of nodes
  - E.g. `/university-3//name`
    - ▶ finds any **name** element *anywhere* under the `/university-3` element, regardless of the element in which it is contained.
- A step in the path can go to parents, siblings, ancestors and descendants of the nodes generated by the previous step, not just to the children
  - “//”, described above, is a short from for specifying “all descendants”
  - “..” specifies the parent.
- `doc(name)` returns the root of a named document



# XQuery

- XQuery is a general purpose query language for XML data
- Currently being standardized by the World Wide Web Consortium (W3C)
  - The textbook description is based on a January 2005 draft of the standard. The final version may differ, but major features likely to stay unchanged.
- XQuery is derived from the Quilt query language, which itself borrows from SQL, XQL and XML-QL
- XQuery uses a  
**for ... let ... where ... order by ...result ...**  
syntax
  - for** ⇔ SQL **from**
  - where** ⇔ SQL **where**
  - order by** ⇔ SQL **order by**
  - result** ⇔ SQL **select**
  - let** allows temporary variables, and has no equivalent in SQL



# FLWOR Syntax in XQuery

- For clause uses XPath expressions, and variable in for clause ranges over values in the set returned by XPath
- Simple FLWOR expression in XQuery
  - find all courses with credits > 3, with each result enclosed in an <course\_id> .. </course\_id> tag

```
for $x in /university-3/course
let $courseid := $x/@course_id
where $x/credits > 3
return <course_id> { $courseid } </course id>
```
  - Items in the **return** clause are XML text unless enclosed in {}, in which case they are evaluated
- Let clause not really needed in this query, and selection can be done In XPath. Query can be written as:

```
for $x in /university-3/course[credits > 3]
return <course_id> { $x/@course_id } </course_id>
```
- Alternative notation for constructing elements:

```
return element course_id { element $x/@course_id }
```



# Joins

- Joins are specified in a manner very similar to SQL

```
for $c in /university/course,  
    $i in /university/instructor,  
    $t in /university/teaches  
where $c/course_id= $t/course id and $t/IID = $i/IID  
return <course_instructor> { $c $i } </course_instructor>
```

- The same query can be expressed with the selections specified as XPath selections:

```
for $c in /university/course,  
    $i in /university/instructor,  
    $t in /university/teaches[ $c/course_id= $t/course_id  
                             and $t/IID = $i/IID]  
return <course_instructor> { $c $i } </course_instructor>
```



# Nested Queries

- The following query converts data from the flat structure for university information into the nested structure used in **university-1**

```
<university-1>
{  for $d in /university/department
    return <department>
        { $d/* }
        { for $c in /university/course[dept name = $d/dept name]
            return $c }
    </department>
}
{  for $i in /university/instructor
    return <instructor>
        { $i/* }
        { for $c in /university/teaches[IID = $i/IID]
            return $c/course id }
    </instructor>
}
</university-1>
```

- **\$c/\*** denotes all the children of the node to which **\$c** is bound, without the enclosing top-level tag



# Grouping and Aggregation

- Nested queries are used for grouping

```
for $d in /university/department  
return
```

```
  <department-total-salary>  
    <dept_name> { $d/dept name } </dept_name>  
    <total_salary> { fn:sum(  
      for $i in /university/instructor[dept_name = $d/dept_name]  
      return $i/salary  
    ) }  
  </total_salary>  
</department-total-salary>
```



# Sorting in XQuery

- The **order by** clause can be used at the end of any expression. E.g. to return instructors sorted by name  
**for** \$i **in** /university/instructor  
**order by** \$i/name  
**return** <instructor> { \$i/\* } </instructor>
- Use **order by** \$i/name **descending** to sort in descending order
- Can sort at multiple levels of nesting (sort departments by dept\_name, and by courses sorted to course\_id within each department)

```
<university-1> {  
  for $d in /university/department  
  order by $d/dept name  
  return  
    <department>  
      { $d/* }  
      { for $c in /university/course[dept name = $d/dept name]  
        order by $c/course id  
        return <course> { $c/* } </course> }  
    </department>  
} </university-1>
```





# Functions and Other XQuery Features

- User defined functions with the type system of XMLSchema  
**declare function** local:dept\_courses(\$iid as xs:string)  
    **as element(course)\***  
    {  
        **for** \$i **in** /university/instructor[IID = \$iid],  
        \$b **in** /university/courses[dept\_name = \$i/dept\_name]  
        **return** \$b  
    }
- Types are optional for function parameters and return values
- The \* (as in decimal\*) indicates a sequence of values of that type
- Universal and existential quantification in where clause predicates
  - **some** \$e **in** *path* **satisfies** *P*
  - **every** \$e **in** *path* **satisfies** *P*
  - Add **and fn:exists(\$e)** to prevent empty \$e from satisfying **every** clause
- XQuery also supports If-then-else clauses



# XSLT

- A **stylesheet** stores formatting options for a document, usually separately from document
  - E.g. an HTML style sheet may specify font colors and sizes for headings, etc.
- The **XML Stylesheet Language (XSL)** was originally designed for generating HTML from XML
- XSLT is a general-purpose transformation language
  - Can translate XML to XML, and XML to HTML
- XSLT transformations are expressed using rules called **templates**
  - Templates combine selection using XPath with construction of results



# Application Program Interface

- There are two standard application program interfaces to XML data:
  - **SAX** (Simple API for XML)
    - Based on parser model, user provides event handlers for parsing events
      - E.g. start of element, end of element
  - **DOM** (Document Object Model)
    - **XML** data is parsed into a tree representation
    - Variety of functions provided for traversing the DOM tree
    - E.g.: Java DOM API provides Node class with methods
      - `getParentNode( )`, `getFirstChild( )`, `getNextSibling( )`
      - `getAttribute( )`, `getData( )` (for text node)
      - `getElementsByTagName( )`, ...
    - Also provides functions for updating DOM tree



# Storage of XML Data

- XML data can be stored in
  - Non-relational data stores
    - Flat files
      - Natural for storing XML
      - But has all problems discussed in Chapter 1 (no concurrency, no recovery, ...)
    - XML database
      - Database built specifically for storing XML data, supporting DOM model and declarative querying
      - Currently no commercial-grade systems
  - Relational databases
    - Data must be translated into relational form
    - Advantage: mature database systems
    - Disadvantages: overhead of translating data and queries



# Storage of XML in Relational Databases

- Alternatives:
  - String Representation
  - Tree Representation
  - Map to relations



# String Representation

- Store each top level element as a string field of a tuple in a relational database
  - Use a single relation to store all elements, or
  - Use a separate relation for each top-level element type
    - E.g. account, customer, depositor relations
      - Each with a string-valued attribute to store the element
- Indexing:
  - Store values of subelements/attributes to be indexed as extra fields of the relation, and build indices on these fields
    - E.g. customer\_name or account\_number
  - Some database systems support **function indices**, which use the result of a function as the key value.
    - The function should return the value of the required subelement/attribute



# String Representation (Cont.)

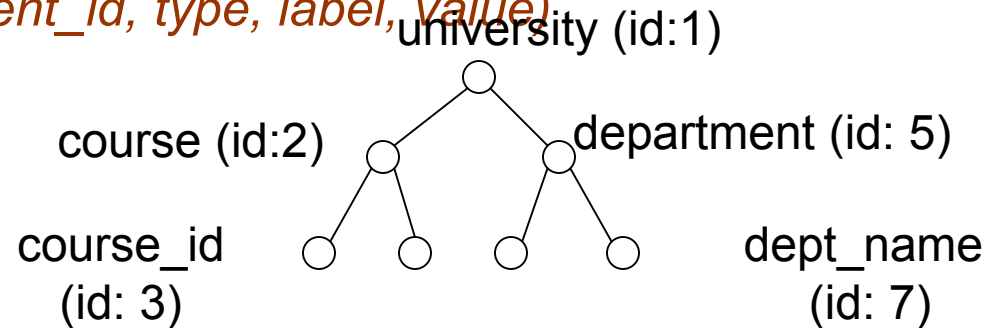
- Benefits:
  - Can store any XML data even without DTD
  - As long as there are many top-level elements in a document, strings are small compared to full document
    - Allows fast access to individual elements.
- Drawback: Need to parse strings to access values inside the elements
  - Parsing is slow.



# Tree Representation

- **Tree representation:** model XML data as tree and store using relations

*nodes(id, parent\_id, type, label, value)*



- Each element/attribute is given a unique identifier
- Type indicates element/attribute
- Label specifies the tag name of the element/name of attribute
- Value is the text value of the element/attribute
- Can add an extra attribute *position* to record ordering of children





# Tree Representation (Cont.)

- Benefit: Can store any XML data, even without DTD
- Drawbacks:
  - Data is broken up into too many pieces, increasing space overheads
  - Even simple queries require a large number of joins, which can be slow



# Mapping XML Data to Relations

- Relation created for each element type whose schema is known:
  - An id attribute to store a unique id for each element
  - A relation attribute corresponding to each element attribute
  - A parent\_id attribute to keep track of parent element
    - As in the tree representation
    - Position information ( $i^{\text{th}}$  child) can be store too
- All subelements that occur only once can become relation attributes
  - For text-valued subelements, store the text as attribute value
  - For complex subelements, can store the id of the subelement
- Subelements that can occur multiple times represented in a separate table
  - Similar to handling of multivalued attributes when converting ER diagrams to tables



# Storing XML Data in Relational Systems

- Applying above ideas to department elements in university-1 schema, with nested course elements, we get  
*department(id, dept\_name, building, budget)*  
*course(parent id, course\_id, dept\_name, title, credits)*
- **Publishing**: process of converting relational data to an XML format
- **Shredding**: process of converting an XML document into a set of tuples to be inserted into one or more relations
- XML-enabled database systems support automated publishing and shredding
- Many systems offer *native storage* of XML data using the **xml** data type. Special internal data structures and indices are used for efficiency



# SQL/XML

- New standard SQL extension that allows creation of nested XML output
  - Each output tuple is mapped to an XML element *row*

<university>

<department>

<row>

<dept name> Comp. Sci. </dept name>

<building> Taylor </building>

<budget> 100000 </budget>

</row>

.... *more rows if there are more output tuples ...*

</department>

... other relations ..

</university>



# SQL Extensions

- **xmlelement** creates XML elements
- **xmlattributes** creates attributes

```
select xmlelement (name "course",  
    xmlattributes (course id as course id, dept name as dept  
name),  
    xmlelement (name "title", title),  
    xmlelement (name "credits", credits))  
from course
```

- **Xmlagg** creates a forest of XML elements

```
select xmlelement (name "department",  
    dept_name,  
    xmlagg (xmlforest(course_id)  
        order by course_id))  
from course  
group by dept_name
```



# XML Applications

- Storing and exchanging data with complex structures
  - E.g. Open Document Format (ODF) format standard for storing Open Office and Office Open XML (OOXML) format standard for storing Microsoft Office documents
  - Numerous other standards for a variety of applications
    - ChemML, MathML
- Standard for data exchange for Web services
  - remote method invocation over HTTP protocol
  - More in next slide
- Data mediation
  - Common data representation format to bridge different systems



# Web Services

- The Simple Object Access Protocol (SOAP) standard:
  - Invocation of procedures across applications with distinct databases
  - XML used to represent procedure input and output
- A *Web service* is a site providing a collection of SOAP procedures
  - Described using the Web Services Description Language (WSDL)
  - Directories of Web services are described using the Universal Description, Discovery, and Integration (UDDI) standard



# End of Chapter 30